



March 13, 2003

Heritage Environmental Services, LLC
Records Retention Group
Treatment/Disposal Services Group
7901 W. Morris Street
Indianapolis, IN 46231

Re: Exception Report on Missing Manifests Signed by Receiving Facility

To whom it may concern

Per 40 CFR 262.42(b) I am writing this exception report. I have not receive a signed copy of manifests from the receiving facility for manifests #00052 (HER-054128) and #00051(HER-054081), dated 12/02/02 and 10/24/01 respectively. I have contacted RSR Quemetco, Incorporated; Indianapolis, IN looking for the above manifests. They have no record of the material ever being delivered. Considering Heritage Transport, LLC was the transporter who picked up this material from our facility and was to transport it to RSR Quemetco, Inc. for disposal, I was wondering what happened to the material? Why was the material not delivered? I have attached a copy of the manifests for your inspection and investigation. I am also sending a copy of this letter to the Kentucky Environmental Protection Agency and the Federal EPA Regional Administrator.

Please reply in writing as to why the material was not delivered to RSR Quemeteo, Incorporated or supply "proof of delivery" to the facility. Please send you reply to:

Tim Mc Carrick
Johnson Controls Battery Group Inc.
8040 Blue Grass Drive
Florence, Kentucky 41042

I need your reply by April 4th, 2003. Thank you for your cooperation.

Respectfully,

A handwritten signature in cursive script that reads "Tim Mc Carrick". The signature is written in dark ink and is positioned above the printed name and title.

Tim Mc Carrick
Plant Engineer

Attachment: Manifest #00051(HER-054081) and #00052(HER-54128)



March 13, 2003

RSR Quemetco Incorporated
7870 W. Morris Street
Indianapolis, IN 46231

Re: Exception Report on Missing Manifests Signed by Receiving Facility

To whom it may concern

Per 40 CFR 262.42(b) I am writing this exception report. I have not receive a signed copy of manifests from the receiving facility for manifests #00052 (HER-054128) and #00051(HER-054081), dated 12/02/02 and 10/24/01 respectively I have attached a copy of the manifests for your inspection and investigation. I am also sending a copy of this letter to the Kentucky Environmental Protection Agency and the Federal EPA Regional Administrator.

Please reply in writing what your investigation reveals. Additionally, if you find the signed copies of the above mentioned manifests, please send them to:

Tim Mc Carrick
Johnson Controls Battery Group Inc.
8040 Blue Grass Drive
Florence, Kentucky 41042

I need your reply by April 4th, 2003. Thank you for your cooperation.

Respectfully,

A handwritten signature in cursive script that reads "Tim Mc Carrick".

Tim Mc Carrick
Plant Engineer

Attachment: Manifests #00051(HER-054081) and #00052(HER-054128)

0-4071

Form Approved: OMB No. 2050-0039 Expires 9-30-99

In case of a spill, call the National Response center at 800 / 424-8802 or 202 / 426-2675

HER-

054128
6

PLEASE PRINT OR TYPE

(Form designed for use on elite (12-pitch) typewriter.)

Form Approved OMB No. 2050-0039 Expires 9-30-99

UNIFORM HAZARDOUS WASTE MANIFEST		1. Generator's U.S. EPA ID Number Y P 0 0 4 . 5 . 7 . 0 . 5 . 9 . 6	Manifest Document No. 000-52	2. Page 1 of	Information in the shaded areas is not required by Federal Law.
3. Generator's Name and Mailing Address JOHNSON CONTROLS 8040 BLUEGRASS DRIVE, FLORENCE, KY 41042				A. State Manifest Document Number	
4. Generator's Phone (859) 371-0030				B. State Generator's ID	
5. Transporter 1 Company Name HERITAGE TRANSPORT, LLC		6. U.S. EPA ID Number N D 0 5 8 4 1 1 4		C. State Transporter's ID (513) 333-6400	
7. Transporter 2 Company Name		8. U.S. EPA ID Number		D. Transporter's Phone	
9. Designated Facility Name and Site Address RSR QUEMETCO, INCORPORATED 7870 WEST MORRIS STREET INDIANAPOLIS, IN 46231		10. U.S. EPA ID Number N D 0 0 0 1 9 9 6 5 3		E. State Transporter's ID	
				F. Transporter's Phone	
				G. State Facility's ID	
				H. Facility's Phone	
11. U.S. DOT Description (Including Proper Shipping Name, Hazard Class, and ID Number)		12. Containers No.	Type	13. Total Quantity	14. Unit Wt/Vol.
a. RD. HAZARDOUS WASTE, SOLID, N.O.S., 9, NA3077, PGIII, (LEAD, (DOOS) ERG# 171		001	0-mo	1.0-9	P
b.		
c.		
d.		
Additional Descriptions for materials listed above		Handling Codes for Wastes listed above MOB3 MOB3			
15. Special Handling Instructions and Additional Information 24 HOUR EMERGENCY PHONE #: 513-333-6400					
16. GENERATOR'S CERTIFICATION: I hereby declare that the contents of this consignment are fully and accurately described above by proper shipping name and are classified, packed, marked and labeled, and are in all respects in proper condition for transport by highway according to applicable international and national government regulations. If I am a large quantity generator, I certify that I have a program in place to reduce the volume and toxicity of waste generated to the degree I have determined to be economically practicable and that I have selected the practicable method of treatment, storage or disposal currently available to me which minimizes the present and future threat to human health and the environment; OR, if I am a small quantity generator, I have made a good faith effort to minimize my waste generation and select the best waste management method that is available to me and that I can afford.					
Printed/Typed Name TIM MCCARRICK		Signature Tim McCarrick		Date Month Day Year 1. 1. 2001	
17. Transporter 1 - Acknowledgement of Receipt of Materials Printed/Typed Name David L. Sibert		Signature David L. Sibert		Date Month Day Year	
18. Transporter 2 - Acknowledgement of Receipt of Materials Printed/Typed Name		Signature		Date Month Day Year	
19. Discrepancy Indication Space K. HANDLING CODE FOR WASTE SHOULD BE MOB3. RTM 3/11/03					
20. Facility Owner or Operator: Certification of receipt of hazardous materials covered by this manifest (except as noted in Item 19). Printed/Typed Name		Signature		Date Month Day Year	

In case of a spill, call the National Response center at 800 / 424-8802 or 202 / 426-2675

GENERATOR

TRANSPORTER

FACILITY

HAZARDOUS WASTE COMPLIANCE AND ENFORCEMENT LOG

1. I.D. Number: <u>KYD 044 570 596</u> 3. County Name: <u>Boone</u> 4. Handler Name: <u>Johnson Controls</u> <u>Battery Group Inc. (GC)</u> 5. Location Address: <u>8040 Blue Grass Drive,</u> <u>Florence, KY 41042</u>	2. Facility Type: <input type="checkbox"/> Land Disposal <input type="checkbox"/> LQG <input type="checkbox"/> Transporter <input type="checkbox"/> Treat/Store <input checked="" type="checkbox"/> SQG <input type="checkbox"/> Gen MKTR HW <input type="checkbox"/> OT HW MKTR <input type="checkbox"/> HW Burner <input type="checkbox"/> UO MKTR Burner <input type="checkbox"/> UO Fuel Burner <input type="checkbox"/> Non-Notifier <input type="checkbox"/> Spec Oil Fuel MKTR <input type="checkbox"/> Recycler <input type="checkbox"/> Combustion <input type="checkbox"/> Non-Handler <input type="checkbox"/> Closed <input type="checkbox"/> CEG
-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------	---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------

6. Evaluation		Add <input checked="" type="checkbox"/>	Change <input type="checkbox"/>	Delete <input type="checkbox"/>
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Date of Evaluation	Sequence Number	Agency	Type of Evaluation	Reason	Responsible Person	Branch
04/09/2003		S	CEI		RPR	FL

Comments: _____

7. Violation		Add <input type="checkbox"/>	Change <input checked="" type="checkbox"/>	On-Going <input type="checkbox"/>	Delete <input type="checkbox"/>
--------------	--	------------------------------	--------------------------------------------	-----------------------------------	---------------------------------

Agency	Sequence Number	Area of Violation	Class of Violation	Regulation Cited	Regulation Type
S		GRR	2	32:040 §3	SR

Priority	Date Determined	Scheduled Date	Return to Compliance Actual Resolve Date
	03/05/2003	04/11/2003	03/17/2003

Comments: Exception reports filed on missing manifests

7a. Violation		Add <input type="checkbox"/>	Change <input checked="" type="checkbox"/>	On-Going <input type="checkbox"/>	Delete <input type="checkbox"/>
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Agency	Sequence Number	Area of Violation	Class of Violation	Regulation Cited	Regulation Type
S		GMR	2	32:100 §3	SR

Priority	Date Determined	Scheduled Date	Return to Compliance Actual Resolve Date
	03/05/2003	04/11/2003	04/09/2003

Comments: Handling codes added to manifests

7b. Violation		Add <input type="checkbox"/>	Change <input type="checkbox"/>	On-Going <input type="checkbox"/>	Delete <input type="checkbox"/>
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Agency	Sequence Number	Area of Violation	Class of Violation	Regulation Cited	Regulation Type
S					SR

Priority	Date Determined	Scheduled Date	Return to Compliance Actual Resolve Date

Comments: _____

R4178065

HENRY C. LIST
SECRETARY



PAUL E. PATTON
GOVERNOR

COMMONWEALTH OF KENTUCKY
NATURAL RESOURCES AND ENVIRONMENTAL PROTECTION CABINET
DEPARTMENT FOR ENVIRONMENTAL PROTECTION
FLORENCE REGIONAL OFFICE
8020 VETERANS MEMORIAL DR STE 110
FLORENCE KY 41042

May 21, 2003

Johnson Controls Battery Group Inc.
8040 Bluegrass Drive
Florence, KY 41042
Attn.: Tim McCarrick

RE: Document review
Johnson Controls Battery Group Inc.
KYD 044 570 596
Boone County

Dear Mr. McCarrick:

Enclosed is the report for the documentation submitted to this office. The violations cited for the inspections conducted on **February 28, 2003** and **March 5, 2003** have been corrected. However, during the document review, a new violation was noted. This is being addressed in a separate Letter of Warning.

If you have any questions or need further information, feel free to contact this office at the above address or call (859) 525-4923.

Sincerely,

A handwritten signature in cursive script that reads "Ray Prater".

Ray Prater
Environmental Inspector III
Division of Waste Management
Florence Regional Office

Enclosures
Copy: Frankfort Central Office
Florence Regional Office
EPA Region IV, Atlanta, Georgia



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COMMONWEALTH OF KENTUCKY
NATURAL RESOURCES AND ENVIRONMENTAL PROTECTION CABINET
DEPARTMENT FOR ENVIRONMENTAL PROTECTION
DIVISION OF WASTE MANAGEMENT

LETTER OF WARNING

05/21/2003

JOHNSON CONTROLS
8040 BLUE GRASS DR
FLORENCE

KY 410420000

LOW ID#: 10472

County: BOONE

Notifications/Complaints System #

Site ID#/Site Name: KYD044570596 JOHNSON CONTROLS BATTERY GROUP, INC.

Dear Tim Mccarrick:

This is to advise that you are in violation of the provisions cited below:

Statute/Regulation(No. 1): KRS 224 401 KAR 32:040 1

A description of the violation:

Failure to maintain the signed copy of each manifest returned from the designated facility which received the waste.

The required remedial measure(s), and date(s) to be completed by; are as follows:

According to documentation submitted, Johnson Controls transporter has implemented procedural changes to insure that all manifests signed by the destination facility are returned to the generator. Since it is the generator's responsibility to insure manifest documents are properly maintained, any future reoccurrence will result in the matter being referred to the Division of Waste Management Enforcement Branch for further action. To be completed by: 04/30/2003

Violation(s) of the above cited statute(s) and/or regulation(s) are subject to a civil penalty per day per violation. Violations carry civil penalties of up to \$25,000 per day per violation depending on the statutes/regulations violated. In addition, violations may be concurrently enjoined. Compliance with remedial measures and their deadlines does not provide exemption from liability for violations during the period of remediation, nor prevent additional remedial measures from being required.

If you have questions or need further information, write or call the undersigned:

Sincerely,

Ray Prater

Environmental Inspector III
FLORENCE REGIONAL OFFICE
8020 VETERANS MEMORIAL DRIVE,
SUITE 110
FLORENCE, KY 41042
859-525-4923 (8:00 AM - 4:30 PM)

cc:Frankfort Office, Florence Office
EPA Region IV, Atlanta, Georgia

+

DEP 4025A

+

45

Natural Resources and Environmental Protection Cabinet
 Department for Environmental Protection
 Division of Waste Management
Small Quantity Generator Inspection Report

Site/Permit ID: KYD 044 570 596			Regional Office: Florence		
Site Name: Johnson Controls Battery Group Inc.			Program: Hazardous Waste		
Site Address: 8040 Blue Grass Drive					
City: Florence		State: Kentucky		Zip: 41042	
				County: Boone	
Site Contact: Tim McCarrick			Title: Plant Engineer		Phone #: 859-525-6030
Inspection Type: Follow-up		Purpose: Non-comprehensive		Not/Com #:	
Inspection Date: 4/30/03		Time: Start a.m. End a.m.			
Latitude: 38° 58' 20"		Longitude: 84° 38' 10"			
Coordinate Collection Method: Reported					
Type of Site: Battery casing production			Registration Expires: March 31, 2004		
Drum Accumulation <input checked="" type="checkbox"/>		Tank Accumulation <input type="checkbox"/>		Other Activities	

I. Administrative Requirements

1. Operations consistent with registration:	32:010 § 3	Not Inspected
a. All generated wastes on Notification Form	32:010 § 3(4)	Not Inspected
b. Status correctly identified	32:010 § 3(4)	Not Inspected
c. Notification form data correct	32:010 § 3(4)	Not Inspected
d. Up to date registration	32:010 § 3(1)	Not Inspected

Comments:

Compliance Status - Did Not Inspect

II. Operator Certification/Accreditation Requirements

Comments:

Compliance Status - Not Applicable

III. Record Keeping Requirements

1. Hazardous waste determination/analysis record	32:010 § 2	Not Inspected
2. Generator annual report maintained:	32:040 § 1; 2	Not Inspected
a. Correct information submitted	32:040 § 2(1)	Not Inspected
b. Copy sent to County Judge/Executive	32:040 § 2(3)	Not Inspected
c. Last 3 years on file	32:040 § 1(2)	Not Inspected
3. Inspection requirements:	32:040 § 1(4)	Not Inspected
a. Adequate schedule developed/kept:	35:020 § 6(1,2)	Not Inspected
b. Inspection log details:	35:020 § 6(4)	Not Inspected
1) Date of inspection	35:020 § 6(4)	Not Inspected
2) Time of inspection	35:020 § 6(4)	Not Inspected
3) Inspector's name	35:020 § 6(4)	Not Inspected
4) Notation of observations	35:020 § 6(4)	Not Inspected

5) Date & nature of remedial actions	35:020 § 6(4)	Not Inspected
c. Records maintained at least 3 years	35:020 § 6(4)	Not Inspected
d. Inspections conducted and recorded	35:020 § 6(2;4)	Not Inspected
e. Remedial actions taken	35:020 § 6(3)	Not Inspected
4. Personnel training requirements:	32:030 § 5(4)(e)	Not Inspected
a. Required employees familiar with relevant waste handling and emergency procedures	32:030 § 5(4)(e)	Not Inspected
5. Emergency Requirements:	32:030 § 5(4)(e)	Not Inspected
a. Emergency Coordinator designated	32:030 § 5(4)(e)	Not Inspected
b. Required information posted near telephone	32:030 § 5(4)(e)	Not Inspected
1) Coordinator's name and telephone number	32:030 § 5(4)(e)	Not Inspected
2) Location of fire and spill equipment	32:030 § 5(4)(e)	Not Inspected
3) Fire Department # or direct alarm	32:030 § 5(4)(e)	Not Inspected
6. Arrangements with local authorities:	32:030 § 5(4)(d)	Not Inspected
a. Police/fire/hospital/ER teams	35:030 § 7(1;2)	Not Inspected
b. Refusals maintained	35:030 § 7(1;2)	Not Inspected
7. International shipments	32:050 § 1 – 9	Not Inspected
8. Generator manifests:	32:020; 32:100	Not Inspected
a. Required information	32:100	No Violations Observed
b. Manifest properly executed	32:020 § 3; 4	Not Inspected
c. Manifest maintained	32:040 § 1	No Violations Observed
d. Exception report maintained	32:040 § 3; 1	No Violations Observed
9. Land disposal restricted wastes:	32:030 § 5(4)(d)	Not Inspected
a. Determination/analysis	37:010 § 7	Not Inspected
b. Dilution prohibited in lieu of treatment	37:010 § 3	Not Inspected
c. Notice/certification with each shipment:	37:010 § 7	Not Inspected
1) All required information	37:010 § 7	Not Inspected
2) Correct treatment standard	37:010 § 7	Not Inspected
3) Waste analysis sent, if available	37:010 § 7	Not Inspected

Comments:

Compliance Status - No Violations Observed

IV. Reporting Requirements

1. Generator annual report submitted:	32:040 § 1; 2	Not Inspected
2. Emergency Requirements:	32:030 § 5(4)(e)	Not Inspected
a. Notification of release as required	32:030 § 5(4)(e)	Not Inspected
3. Generator manifests:	32:020; 32:100	Not Inspected
a. Exception report submitted if necessary	32:040 § 3; 1	Not Inspected

Comments:

Compliance Status - Did Not Inspect

V. Operation & Maintenance/Performance Requirements

1. Satellite accumulation areas:	32:030 § 5(3)	Not Inspected
a. Maximum of 55 gallons	32:030 § 5(3)(a)	Not Inspected
b. 1 quart maximum if acutely hazardous	32:030 § 5(3)(a)	Not Inspected

c. At or near generation point	32:030 § 5(3)(a)	Not Inspected
d. Operator's control	32:030 § 5(3)(a)	Not Inspected
e. Complies with 35:180 § 2; 3; 4(1):	32:030 § 5(4)(b)	Not Inspected
1) Condition of containers	35:180 § 2	Not Inspected
2) Compatibility of waste with containers	35:180 § 3	Not Inspected
3) Closed except for adding/removing	35:180 § 4(1)	Not Inspected
f. "Hazardous Waste" marking	32:030 § 5(3)(a)	Not Inspected
2. Prevention and preparedness:	32:030 § 5(4)(d)	Not Inspected
a. Maintained/operated to prevent releases	35:030 § 2	Not Inspected
b. Required equipment:	35:030 § 3	Not Inspected
1) Internal communication or alarm system	35:030 § 3(1)	Not Inspected
2) Telephone or 2-way radio	35:030 § 3(2)	Not Inspected
3) Fire extinguishers, if applicable	35:030 § 3(3)	Not Inspected
4) Absorbent material, if applicable	35:030 § 3	Not Inspected
c. Required equipment maintained/operated	35:030 § 4	Not Inspected
d. Access to communications or alarm	35:030 § 5	Not Inspected
e. Adequate aisle space maintained	35:030 § 6	Not Inspected
3. Accumulation in containers:	32:030 § 5(4)	Not Inspected
a. D.O.T. packaging	32:030 § 1	Not Inspected
b. Accumulation start date:	32:030 § 5(1)(b)	Not Inspected
1) Date clearly marked	32:030 § 5(1)(b)	Not Inspected
2) Date visible for inspection	32:030 § 5(1)(b)	Not Inspected
c. Each clearly marked "Hazardous Waste"	32:030 § 5(1)(c)	Not Inspected
d. Condition of containers	35:180 § 2	Not Inspected
e. Compatibility of waste with containers	35:180 § 3	Not Inspected
f. Management of containers:	35:180 § 4	Not Inspected
1) Drums closed (except adding/removing)	35:180 § 4(1)	Not Inspected
2) Operated to prevent leaks or ruptures	35:180 § 4(2)	Not Inspected
g. Accumulation areas inspected weekly	35:180 § 5	Not Inspected
1) Check for leaks	35:180 § 5	Not Inspected
2) Address container condition	35:180 § 5	Not Inspected
h. Incompatible waste management	35:180 § 7	Not Inspected
4. SQG accumulation period of:	32:030 § 5(4)	Not Inspected
a. 180 days -or-	32:030 § 5(4)	Not Inspected
b. 270 days (if > 200 miles and < 6000 kg)	32:030 § 5(4)	Not Inspected

Comments:

Compliance Status - Did Not Inspect

VI. Discharge/Emission Compliance

Comments:

Compliance Status - Not Applicable

VII. Monitoring/Analyses Evaluation

Comments:

Compliance Status - Not Applicable

VIII. Environmental/Human Health Impact

Comments:

Compliance Status - Not Applicable

IX. Documentation

- ☐ Photos taken
- ☐ Record of visual determination of opacity
- ☒ Documents Obtained From Facility
- ☐ Samples taken by DEP
- ☐ Samples taken by outside source
- ☐ Regional Office instrument readings taken
- ☐ Other documentation
- ☐ Site Hazard Assessment completed and attached

Comments:

3-17-03 I received the exception report regarding the manifests. In addition, I was copied on letters sent to the transport company Heritage Transport, LLC asking for proof of delivery as well as the TSD RSR Quemetco, Inc. asking if they received the shipments or not. According to McCarrick, RSR had no record of the material ever having been delivered.

4-9-03 Manifests with missing handling codes added were faxed to our office .

4-16-03 I received an email from McCarrick stating he was in contact with Heritage regarding the lost manifests and would be getting an answer back from them shortly.

4-30-03 I received a letter from McCarrick stating that the signed manifests have not been found and are considered lost. RSR stated that they found no evidence of the drums ever being received at their facility. Heritage stated that due to this incident, they have changed the way they deliver the material to RSR. In the past, Heritage would pick up containers from various facilities and transport it by van to one or more 10-day facilities prior to transport to RSR. When a van was sent to RSR, rather than being unloaded on the spot, it was dropped off and the manifests left in the van attached to their respective shipments. This was per agreement between RSR and Heritage. As stated in the letter from Heritage 'Because RSR's container unloading schedule varies, Heritage and RSR had agreed that the Heritage vans would be delivered and parked. RSR would unload them later, and when Heritage had another load to deliver, it would pick up the empty van and the signed paperwork. As was the procedure then, the HT driver did not stay with the load to witness the unloading..... Heritage has little doubt that the drums were delivered to RSR and that they were probably unloaded with the rest of the shipment. The paperwork must have been misplaced or overlooked. HT has opened every door, checked every drum, and looked under every rock in its yard for the Johnson Controls containers. They are not at our facility.....HT has changed its 'drop & hook' policy. Drivers now wait until all containers are unloaded and leave with the paperwork signed by the TSD.'

5-1-03 I spoke to Jim Maloney with Heritage Transport to get information on what other containers may have been in the vans that contained the material from Johnson Controls. He stated he would send me the information.

5-13-03 Received information from Maloney. This information shows material received from Johnson Controls for the two shipments in question as well as materials received from various other companies within this same general time frame. Documentation was also provided showing acceptance of shipments by RSR from Heritage on other dates but not the dates in question.

The violations Johnson Controls was cited for previously have been corrected. A new violation was cited for failure to maintain the signed copy of their manifests from the destination facility and a Letter of Warning was issued. However, this violation has been corrected effective 4-30-03. Johnson Controls transporter has implemented procedural changes to insure that all manifests signed by the destination facility are returned to the generator.

Inspector: <i>Ray Probst</i>	Title: Environmental Inspector III	Date: 5-21-03
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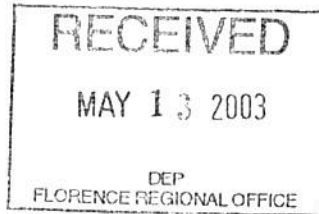
Overall Compliance Status
<input checked="checked" type="checkbox"/> No violations observed
<input type="checkbox"/> No violations observed but impending violation trends observed – Advisory Action Taken
<input type="checkbox"/> Out of Compliance. Non-recurrent deficiency noted – Verbal notice given or violation corrected at time of inspection.
<input type="checkbox"/> Out of Compliance. Non-recurrent administrative or O & M deficiency noted – Warning Notice issued
<input type="checkbox"/> Out of Compliance – NOV issued

Received By:	Title:	Date:
Delivery Method: Regular Mail		

HERITAGE TRANSPORT, LLC



1626 Research Way
Indianapolis, IN 46231
Phone: 317/381-6844
FAX: 317/486-2976
Internet: <http://www.heritage-enviro.com>



RAY PRATER:

HAVE ARE COPIES OF THE DOCUMENTS
THAT WE DISCUSSED. THEY INCLUDE:

- (a) LOGS FROM THE 10-DAY TRANSFER
OPERATION IN INDIANAPOLIS THAT
RECEIVED THE JOHNSON CONTROL CONTAINERS
- (b) THE INVENTORY REPORT FROM THE
INDIANAPOLIS PLANT FOR OTHER DRUMS
THAT WERE ON THE SHIPMENTS TO RSR
- (c) THE BILLS OF LADING TO RSR

PLEASE CALL WITH ANY QUESTIONS

Jon Maloney
317-390-3113



Recycled Paper

10-DAY TRANSFER LOG
INDUSTRY, IND

16-day / depart

[illegible]

Trip Code: 350899

Customer: 48 HERITAGE ENVIRONMENTAL SERVI

Contact: JASON JONES (317)486-2954

PO number(s): DAVE MANLEY

Location(s) : 48-1



HERITAGE ENVIRONMENTAL SERVICES, LLC
7901 WEST MORRIS STREET INDIANAPOLIS IN 46231
(317)243-0811 http://www.heritage-enviro.com

Signature [Signature] Name (please print) Albert H Lambert Date 12/4/02

This is to certify that the materials listed below are properly classified, described, packaged, marked and labeled and are in proper condition for transportation according to the applicable regulations of the Department of Transportation.

** PLANT COMPLIANCE

HERITAGE ENVIRONMENTAL SERVICE
TREATMENT CENTER - INDIANAPOLIS
7901 WEST MORRIS STREET
INDIANAPOLIS IN 46231

STATE ID:
EPA ID: IND093219012
Phone: (317)243-0811
GENERATOR: 9060 YY **

LINER
PUMP/HOSE
DEMURRAGE
EMER RATE

INTL

TRANSPORTER: 10276

HERITAGE TRANSPORT, LLC - PUGHILL

EPA ID: IND058434114
Phone: (317)361-6948

DRIVER#
TRACTOR#
ROLLOFF#
TRAILER#

Signature [Signature] Name (please print) Mark Davis Date 12/4/02

As transporter, I accept this material for transportation in accordance with all applicable regulations.

TSDF: 15138

RSR QUENETCO, INCORPORATED
7870 WEST MORRIS STREET
INDIANAPOLIS IN 46231

EPA ID: IND000199653
Phone: (317)747-1303

DENURRAGE

INTL

Signature [Signature] Name (please print) Mike McElroy Date 12-4-02

As the receiving facility, I accept this material for treatment, storage or disposal in accordance with all applicable regulations.

Wsm Prod HES Doc Common Name
248 498 1161679 LEAD-ACID BATTERIES

RCRA GenDoc State Manifest
H

Pg Ln Ordered Shipped
Qty Unit Quantity Unit in 55 GAL DRM

DOT : RQ, BATTERIES, WET, FILLED WITH ACID, 8, UN2794, PG III, ERG# 154

HM1 1

KB IL Auth# Tank: Quote: 286130 Approval:

Gallons: 8 SKIDS
3 DM
4 DF
LBS:

Wsm Prod HES Doc Common Name

RCRA GenDoc State Manifest

Pg Ln Shipped Quantity Received Qty

DOT :

HM1 1

IL Auth# Tank: Quote: Approval:

Gallons: LBS:

Wsm Prod HES Doc Common Name

RCRA GenDoc State Manifest

Pg Ln Shipped Quantity Received Qty

DOT :

HM1 1

IL Auth# Tank: Quote: Approval:

Gallons: LBS:

Wsm Prod HES Doc Common Name

RCRA GenDoc State Manifest

Pg Ln Shipped Quantity Received Qty

DOT :

HM1 1

IL Auth# Tank: Quote: Approval:

Gallons: LBS:

EMERGENCY CHEMICAL ASSISTANCE TELEPHONE NUMBER: 1-800-817-5001

LAST PAGE

MAIL TO GENERATOR

Trip Code: 359566

Customer: 48 HERITAGE ENVIRONMENTAL SERVI

Contact: JASON JONES (317)486-2954

PO number(s): DAVE MANLEY

Location(s) : 48-1



HERITAGE ENVIRONMENTAL SERVICES, LLC
7901 WEST MORRIS STREET INDIANAPOLIS IN 46231
(317)243-0811 http://www.heritage-enviro.com

Signature [Signature] Name (please print) Albert L Lambert Date 12/4/02

This is to certify that the materials listed below are properly classified, described, packaged, marked and labeled and are in proper condition for transportation according to the applicable regulations of the Department of Transportation.

** PLANT COMPLIANCE

HERITAGE ENVIRONMENTAL SERVICE
TREATMENT CENTER - INDIANAPOLIS
7901 WEST MORRIS STREET
INDIANAPOLIS IN 46231

STATE ID:
EPA ID: IND093219012
Phone: (317)243-0811
GENERATOR: 9000 YY *M*

INTL
LINER
PUMP/HOSE
DEMURRAGE
ENER RATE

TRANSPORTER: 8000

HERITAGE TRANSPORT, LLC

EPA ID: IND056484114
Phone: (317)381-6843

DRIVER#
TRACTOR#
ROLLOFF#
TRAILER#

Signature [Signature] Name (please print) Mark Davis Date 12/4/02

As transporter, I accept this material for transportation in accordance with all applicable regulations.

TSDP: 15138

RSR QUENETCO, INCORPORATED
7870 WEST MORRIS STREET
INDIANAPOLIS IN 46231

EPA ID: IND000199653
Phone: (317)247-1303

DEMURRAGE

Signature [Signature] Name (please print) Mike McCarty Date 12-4-02

As the receiving facility, I accept this material for treatment, storage or disposal in accordance with all applicable regulations.

Wsm Prod HES Doc Common Name	RCRA GenDoc State Manifest	Pg Ln	Ordered	Shipped	Received Qty
248 498 1158818 LEAD-ACID BATTERIES	N				
DOT : RQ, BATTERIES, WET, FILLED WITH ACID, 8, UN2794, PG III, ERG# 154		1	DR	11 55 DF	
HM[1				8 55 DM	
NE IL Auth#	Tank:	Quote: 266132	Approval:	Gallons: 1 Skid	LBS:

Wsm Prod HES Doc Common Name	RCRA GenDoc State Manifest	Pg Ln	Shipped	Quantity	Received Qty
DOT :					
HM[1					
IL Auth#	Tank:	Quote:	Approval:	Gallons:	LBS:

Wsm Prod HES Doc Common Name	RCRA GenDoc State Manifest	Pg Ln	Shipped	Quantity	Received Qty
DOT :					
HM[1					
IL Auth#	Tank:	Quote:	Approval:	Gallons:	LBS:

Wsm Prod HES Doc Common Name	RCRA GenDoc State Manifest	Pg Ln	Shipped	Quantity	Received Qty
DOT :					
HM[1					
IL Auth#	Tank:	Quote:	Approval:	Gallons:	LBS:

EMERGENCY CHEMICAL ASSISTANCE TELEPHONE NUMBER: 1-800-827-5221

LAST PAGE

MAIL TO GENERATOR

HERITAGE ENVIRONMENTAL SERVICES
 11/05/2002 to 12/02/2002
 00:00 to 23:59
 PROCESS: OFFSITE
 ALL GROUPS
 LOCATION: QUEMETCO
 DETAIL REPORT

Process: OFFSITE Group: R Location: QUEMETCO

DRUM	DOC. #	GENER.	WSTR.	PROD-MTRX	RCRA CODES	DATE	TIME	USER	TYPE	SIZE	GROSS	NET	MANIFEST
1799315	1151575	10182	165	108-	10 D004,D008,	11/13/2002	07:46	AL L	DM	55	275	230	1158818
1800982	1152640	15380	67	111-	10 NONE,	11/13/2002	07:46	AL L	DF	55	273	250	1158818
1803704	1153143	32384	21	111-	10 NONE,	11/13/2002	07:47	AL L	CW	202	370	320	1158818
1803214	1153167	74628	13	111-	10 D008,D002,	11/13/2002	07:47	AL L	DM	55	312	267	1158818
1800438	1153357	70802	6	111-	10 NONE,	11/13/2002	07:48	AL L	DM	55	434	389	1158818
1802118	1153436	57320	7	111-	10 NONE,	11/25/2002	08:19	Mike	DM	55	126	81	1161679
1801066	1154191	455	15	111-	10 NONE,	11/13/2002	07:44	AL L	DF	20	161	151	1158818
1801519	1155013	26077	61	111-	10 NONE,	11/13/2002	07:47	AL L	DF	55	390	367	1158818
1801520	1155013	26077	61	111-	10 NONE,	11/13/2002	07:46	AL L	DF	55	409	386	1158818
1801521	1155013	26077	61	111-	10 NONE,	11/13/2002	07:47	AL L	DF	55	92	69	1158818
1801522	1155013	26077	61	111-	10 NONE,	11/13/2002	07:46	AL L	DF	55	427	404	1158818
1801727	1155112	1803	38	111-	10 D002,D008,	11/13/2002	07:47	AL L	DM	55	210	165	1158818
1804824	1156012	73320	13	111-	10 NONE,	11/25/2002	08:19	Mike	CF	20	144	138	1161679
1804858	1156278	15380	67	111-	10 NONE,	11/25/2002	08:19	Mike	DF	55	384	361	1161679
1804859	1156278	15380	67	111-	10 NONE,	11/25/2002	08:19	Mike	DF	55	401	378	1161679
1803376	1156528	66842	4	111-	10 NONE,	11/13/2002	07:47	AL L	DM	55	305	260	1158818
1803377	1156528	66842	4	111-	10 NONE,	11/13/2002	07:47	AL L	DM	55	535	490	1158818
1803378	1156529	66842	5	111-	10 D008,D002,	11/13/2002	07:47	AL L	DF	55	488	465	1158818
1803379	1156529	66842	5	111-	10 D008,D002,	11/13/2002	07:47	AL L	DF	55	377	354	1158818
1803620	1156534	66842	4	111-	10 NONE,	11/13/2002	07:47	AL L	DM	55	459	414	1158818
1803621	1156534	66842	4	111-	10 NONE,	11/13/2002	07:47	AL L	DM	55	345	300	1158818
1803622	1156535	66842	5	111-	10 D002,D008,	11/13/2002	07:47	AL L	DF	55	533	510	1158818
1803623	1156535	66842	5	111-	10 D002,D008,	11/13/2002	07:47	AL L	DF	55	487	464	1158818
1803624	1156535	66842	5	111-	10 D002,D008,	11/13/2002	07:47	AL L	DF	55	516	493	1158818
1803625	1156535	66842	5	111-	10 D002,D008,	11/13/2002	07:47	AL L	DF	55	482	459	1158818
1803626	1156535	66842	5	111-	10 D002,D008,	11/13/2002	07:47	AL L	DF	55	462	439	1158818
1803627	1156535	66842	5	111-	10 D002,D008,	11/13/2002	07:47	AL L	DF	55	199	176	1158818
1805062	1156540	74081	1	111-	10 NONE,	11/25/2002	08:19	Mike	DF	55	262	239	1161679
1804851	1157299	13794	121	112-	0 D008,D006,	11/25/2002	08:19	Mike	CW	202	1967	1917	1161679
1805042	1157945	18051	41	111-	10 NONE,	11/25/2002	08:19	Mike	CW	202	180	130	1161679
1804688	1158041	71700	7	111-	10 NONE,	11/25/2002	08:18	Mike	CW	202	236	186	1161679
1804709	1158046	73345	4	111-	10 NONE,	11/25/2002	08:18	Mike	DM	30	406	373	1161679
1804772	1158453	73249	4	111-	10 NONE,	11/25/2002	08:19	Mike	DM	55	312	267	1161679
1807197	1160883	56262	7	111-	10 NONE,	11/25/2002	08:19	Mike	CW	202	2115	2065	1161679
1807198	1160883	56262	7	111-	10 NONE,	11/25/2002	08:19	Mike	CW	202	1857	1807	1161679
1807199	1160883	56262	7	111-	10 NONE,	11/25/2002	08:18	Mike	CW	202	2056	2006	1161679
1807200	1160883	56262	7	111-	10 NONE,	11/25/2002	08:19	Mike	CW	202	566	516	1161679
1807201	1160883	56262	7	111-	10 NONE,	11/25/2002	08:19	Mike	CW	202	818	768	1161679

Drum Process\Offsite Report

05/01/2003 09:03:12

HERITAGE ENVIRONMENTAL SERVICES
11/05/2002 to 12/02/2002
00:00 to 23:59
PROCESS: OFFSITE
ALL GROUPS
LOCATION: QUEMETCO
DETAIL REPORT

Page: 2

Process: Group: Location:

DRUM	DOC. #	GENER.	WSTR.	PROD-MTRX	RCRA CODES	DATE	TIME	USER	TYPE	SIZE	GROSS	NET	MANIFEST
=====													
</													

Drum Process\Offsite Report

Trip Code: 353595
Customer: 48 HERITAGE ENVIRONMENTAL SERVI
Contact: JASON JONES (317)486-2954
PO number(s): DAVE HANLEY
Location(s): 48-1



HERITAGE ENVIRONMENTAL SERVICES, LLC
7901 WEST MORRIS STREET INDIANAPOLIS IN 46231
(317)243-0811 http://www.heritage-enviro.com

Signature [Signature] Name (please print) Daniel F. [Signature] Date 10/1/02
This is to certify that the materials listed below are properly classified, described, packaged, marked and labeled and are in proper condition for transportation according to the applicable regulations of the Department of Transportation.

**** PLANT COMPLIANCE**

HERITAGE ENVIRONMENTAL SERVICE
TREATMENT CENTER - INDIANAPOLIS
7901 WEST MORRIS STREET
INDIANAPOLIS IN 46231

STATE ID:
EPA ID: IND093219012
Phone: (317)243-0811
GENERATOR: 9000 **

INTL
LINER
PUMP/HOSE
DEMURRAGE
EMER RATE

TRANSPORTER: 10276
HERITAGE TRANSPORT, LLC - PUGHILL

EPA ID: IND058494114
Phone: (317)381-6848

DRIVER#
TRACTOR#
ROLLOFF#
TRAILER#

Signature _____ Name (please print) _____ Date _____
As transporter, I accept this material for transportation in accordance with all applicable regulations.

TSDF: 15138
RSR QUEMETCO, INCORPORATED
7870 WEST MORRIS STREET
INDIANAPOLIS IN 46231

EPA ID: IND000199653
Phone: (317)247-1303

INTL
DEMURRAGE

Signature [Signature] Name (please print) Mike McArthur Date 10-1-02
As the receiving facility, I accept this material for treatment, storage or disposal in accordance with all applicable regulations.

Wsm Prod HES Doc Common Name
248 498 1147419 LEAD-ACID BATTERIES

RCRA GenDoc State Manifest
N

Pg Ln | Ordered | Shipped | Received Qt
| Qty Unit | Quantity Unit | in 55 GAL DI

DOT : RQ, BATTERIES, WET, FILLED WITH ACID, 9, UN2794, PG III, ERG# 154

HM[]

NB IL Auth# N/A Tank: Quote: 266132 Approval:

1 DR 29,000
| Gallons: 16 | LBS:

Wsm Prod HES Doc Common Name

RCRA GenDoc State Manifest

Pg Ln | Shipped Quantity | Received Qt

DOT :

HM[]

IL Auth# Tank: Quote: Approval:

| Gallons: | LBS:

Wsm Prod HES Doc Common Name

RCRA GenDoc State Manifest

Pg Ln | Shipped Quantity | Received Qt

DOT :

HM[]

IL Auth# Tank: Quote: Approval:

| Gallons: | LBS:

Wsm Prod HES Doc Common Name

RCRA GenDoc State Manifest

Pg Ln | Shipped Quantity | Received Qt

DOT :

HM[]

IL Auth# Tank: Quote: Approval:

| Gallons: | LBS:

HERITAGE ENVIRONMENTAL SERVICES
 09/01/2002 to 09/30/2002
 00:00 to 23:59
 PROCESS: OFFSITE
 ALL GROUPS
 LOCATION: QUEMETCO
 DETAIL REPORT

Process: OFFSITE Group: R Location: QUEMETCO

DRUM	DOC. #	GENER.	WSTR.	PROD-MTRX	RCRA CODES	DATE	TIME	USER	TYPE	SIZE	GROSS	NET	MANIFEST
1785245	1127538	73433	1	111-	10 NONE,	09/04/2002	06:31	Mike	CW	202	685	635	1147419
1785246	1127538	73433	1	111-	10 NONE,	09/04/2002	06:31	Mike	CW	202	741	691	1147419
1785247	1127538	73433	1	111-	10 NONE,	09/04/2002	06:31	Mike	CW	202	447	397	1147419
1785248	1127538	73433	1	111-	10 NONE,	09/04/2002	06:31	Mike	CW	202	1022	972	1147419
1785249	1127538	73433	1	111-	10 NONE,	09/04/2002	06:31	Mike	CW	202	427	377	1147419
1785250	1127538	73433	1	111-	10 NONE,	09/04/2002	06:31	Mike	CW	202	1362	1312	1147419
1784838	1132299	41437	7	111-	10 NONE,	09/04/2002	06:31	Mike	CW	202	863	813	1147419
1785018	1134173	32733	65	111-	0 D002,D008,	09/27/2002	11:43	Herm	DF	15	70	65	1147419
1788797	1137011	60589	14	111-	10 NONE,	09/27/2002	11:44	Herm	CW	202	659	609	1147419
1786433	1137557	31217	40	111-	0 NONE,	09/27/2002	11:44	Herm	DF	15	144	139	1147419
1787786	1138393	30456	48	111-	10 NONE,	09/27/2002	11:44	Herm	DF	55	554	531	1147419
1785370	1138855	56262	7	111-	10 NONE,	09/05/2002	08:43	Ap	CW	202	1342	1292	1147419
1785371	1138855	56262	7	111-	10 NONE,	09/05/2002	08:43	Ap	CW	202	2323	2273	1147419
1787070	1139639	74191	1	111-	10 NONE,	09/10/2002	08:17	Dan	DF	55	269	246	1147419
1785804	1139640	74191	2	111-	10 D008,D002,	09/10/2002	08:17	Dan	DF	55	264	241	1147419
1789094	1139742	32125	39	111-	0 NONE,	09/27/2002	11:44	Herm	DF	5	37	34	1147419
1788818	1140360	1798	37	111-	10 NONE,	09/27/2002	11:43	Herm	CW	202	417	367	1147419
1788546	1140617	1892	59	111-	10 NONE,	09/27/2002	11:44	Herm	CW	202	1054	1004	1147419
1788548	1140617	1892	59	111-	10 NONE,	09/27/2002	11:44	Herm	CW	202	1350	1300	1147419
1789726	1140649	74081	1	111-	10 NONE,	09/27/2002	11:44	Herm	DF	30	399	385	1147419
1786630	1140673	33911	5	111-	10 NONE,	09/10/2002	08:17	Dan	DF	55	517	494	1147419
1786631	1140673	33911	5	111-	10 NONE,	09/10/2002	08:17	Dan	DF	55	514	491	1147419
1786632	1140673	33911	5	111-	10 NONE,	09/10/2002	08:17	Dan	DF	55	437	414	1147419
1786633	1140673	33911	5	111-	10 NONE,	09/10/2002	08:17	Dan	DF	55	288	265	1147419
1786634	1140673	33911	5	111-	10 NONE,	09/10/2002	08:17	Dan	DF	55	534	511	1147419
1786635	1140673	33911	5	111-	10 NONE,	09/10/2002	08:17	Dan	DF	55	549	526	1147419
1786636	1140673	33911	5	111-	10 NONE,	09/10/2002	08:17	Dan	DF	55	455	432	1147419
1786704	1140722	25417	4	111-	10 NONE,	09/10/2002	08:17	Dan	CW	202	1638	1588	1147419
1791454	1140902	15380	67	111-	10 NONE,	09/27/2002	11:44	Herm	DF	55	558	535	1147419
1791455	1140902	15380	67	111-	10 NONE,	09/27/2002	11:44	Herm	DF	55	599	576	1147419
1791456	1140902	15380	67	111-	10 NONE,	09/27/2002	11:44	Herm	DF	55	412	389	1147419
1791457	1140902	15380	67	111-	10 NONE,	09/27/2002	11:45	Herm	DF	55	538	515	1147419
1791112	1140938	72245	6	111-	10 NONE,	09/27/2002	11:44	Herm	DF	5	11	8	1147419
1790987	1141647	41209	25	111-	10 NONE,	09/27/2002	11:44	Herm	DF	5	15	12	1147419
1791570	1142280	43470	15	111-	10 NONE,	09/27/2002	11:44	Herm	DF	55	378	355	1147419
1791463	1143072	13794	121	112-	0 D006,D008,	09/27/2002	11:44	Herm	CW	202	1725	1675	1147419
1792657	1143249	39167	42	111-	10 NONE,	09/27/2002	11:44	Herm	DF	55	301	278	1147419
1790433	1144059	25417	4	111-	10 NONE,	09/27/2002	11:44	Herm	CF	5	62	61	1147419
1790434	1144059	25417	4	111-	10 NONE,	09/27/2002	11:46	Herm	CF	5	62	61	1147419

Drum Process\Offsite Report

HERITAGE ENVIRONMENTAL SERVICES
 09/01/2002 to 09/30/2002
 00:00 to 23:59
 PROCESS: OFFSITE
 ALL GROUPS
 LOCATION: QUEMETCO
 DETAIL REPORT

Process: OFFSITE Group: R Location: QUEMETCO

DRUM	DOC. #	GENER.	WSTR.	PROD-MTRX	RCRA CODES	DATE	TIME	USER	TYPE	SIZE	GROSS	NET	MANIFEST
1790435	1144059	25417	4	111-	10 NONE,	09/27/2002	11:44	Herm	CF	5	62	61	1147419
1790436	1144059	25417	4	111-	10 NONE,	09/27/2002	11:46	Herm	CF	5	62	61	1147419
1790437	1144059	25417	4	111-	10 NONE,	09/27/2002	11:44	Herm	CF	5	62	61	1147419
1790438	1144059	25417	4	111-	10 NONE,	09/27/2002	11:44	Herm	CF	5	62	61	1147419
1790439	1144059	25417	4	111-	10 NONE,	09/27/2002	11:44	Herm	CF	5	62	61	1147419
1790440	1144059	25417	4	111-	10 NONE,	09/27/2002	11:44	Herm	CF	5	62	61	1147419

PROCESS	GROUP	LOCATION	# of DRUMS	AMOUNT (gals)	GROSS WGT.	NET WGT.
OFFSITE	R	QUEMETCO	45	4025	24394	23235
Group R Totals ->			45	4025	24394	23235
Process OFFSITE Totals ->			45	4025	24394	23235
REPORT TOTALS ->			45	4025	24394	23235

Drum Process\Offsite Report

BILL OF LADING FOR STOP: 539254

Trip Code: 360537

Customer: 48 HERITAGE ENVIRONMENTAL SERVI

Contact: JASON JONES (317)486-2954

PO number(s): DAVE MANLEY

Location(s): 48-1



Pg: 1

HERITAGE ENVIRONMENTAL SERVICES, LLC
7901 WEST MORRIS STREET INDIANAPOLIS IN 46231
(317)243-0811 http://www.heritage-enviro.com

Signature [Signature] Name (please print) Daniel Taylor Date 11/24/02

This is to certify that the materials listed below are properly classified, described, packaged, marked and labeled and are in proper condition for transportation according to the applicable regulations of the Department of Transportation.

** PLANT COMPLIANCE

HERITAGE ENVIRONMENTAL SERVICE
TREATMENT CENTER - INDIANAPOLIS
7901 WEST MORRIS STREET
INDIANAPOLIS IN 46231

STATE ID:
EPA ID: IND093219012
Phone: (317)243-0811
GENERATOR: 9000 YY *N*

INTL
CYLINDER
PUMP/HOSE
DEMURRAGE
EMER RATE

TRANSPORTER: 10276
HERITAGE TRANSPORT, LLC - PUGHMILL

EPA ID: IND058484114
Phone: (317)381-6848

DRIVER#
TRACTOR#
RCLOFF#
TRAILER#

Signature _____ Name (please print) _____ Date _____

As transporter, I accept this material for transportation in accordance with all applicable regulations.

TSD#: 15138

RSR QUENETCO, INCORPORATED
7870 WEST MORRIS STREET
INDIANAPOLIS IN 46231

EPA ID: IND0000199653
Phone: (317)247-1303

DEMURRAGE
INTL

Signature [Signature] Name (please print) Mike McLAITY Date 11-21-02

As the receiving facility, I accept this material for treatment, storage or disposal in accordance with all applicable regulations.

Wsm Prod HES Doc Common Name
248 498 1161124 LEAD-ACID BATTERIES

RCRA GenDoc State Manifest
N

Pg Ln/Ordered/Shipped
Qty Unit/Quantity Unit in 55 GAL DRUM

DOT : RQ, BATTERIES, VET, FILLED WITH ACID, 8, UN2794, PG III, ERG# 154

HMI 1

NB IL Auth#

Tank:

Quote: 266132 Approval:

Gallons:

LBS:

Wsm Prod HES Doc Common Name

RCRA GenDoc State Manifest

Pg Ln/Shipped Quantity

Received Qty

DOT :

HMI 1

IL Auth#

Tank:

Quote:

Approval:

Gallons:

LBS:

Wsm Prod HES Doc Common Name

RCRA GenDoc State Manifest

Pg Ln/Shipped Quantity

Received Qty

DOT :

HMI 1

IL Auth#

Tank:

Quote:

Approval:

Gallons:

LBS:

Wsm Prod HES Doc Common Name

RCRA GenDoc State Manifest

Pg Ln/Shipped Quantity

Received Qty

DOT :

HMI 1

IL Auth#

Tank:

Quote:

Approval:

Gallons:

LBS:

EMERGENCY CHEMICAL ASSISTANCE TELEPHONE NUMBER: 1-800-827-5221

LAST PAGE

HERITAGE ENVIRONMENTAL SERVICES
 08/01/2002 to 12/31/2002
 00:00 to 23:59
 PROCESS: OFFSITE
 ALL GROUPS
 ALL LOCATIONS
 DETAIL REPORT

Process: OFFSITE Group: R Location: QUEMETCO

DRUM	DOC. #	GENER.	WSTR.	PROD-MTRX	RCRA CODES	DATE	TIME	USER	TYPE	SIZE	GROSS	NET	MANIFEST
1784430	1136909	10182	140	111-	10 NONE,	10/03/2002	11:44	Wh	DF	55	485	462	1161124
1788023	1137392	2441	27	63-	20 U000,	10/03/2002	11:44	Wh	DF	5	24	21	1161124
1788547	1140617	1892	59	111-	10 NONE,	10/08/2002	10:00	Jbob	CW	202	1156	1106	1161124
1788549	1140617	1892	59	111-	10 NONE,	10/08/2002	10:25	Jbob	CW	202	1400	1350	1161124
1793151	1141303	18519	36	111-	10 NONE,	10/03/2002	11:44	Wh	DM	55	529	484	1161124
1793541	1142600	42355	4	111-	10 D008,	10/03/2002	11:44	Wh	DM	55	417	372	1161124
1793542	1142600	42355	4	111-	10 D008,	10/03/2002	11:44	Wh	DM	55	437	392	1161124
1792816	1144386	28491	62	111-	0 NONE,	10/03/2002	06:30	Jr	DF	15	46	41	1161124
1796040	1145769	73320	13	111-	10 NONE,	10/21/2002	08:18	Cp	DF	30	194	180	1161124
1793453	1146527	15145	52	111-	10 NONE,	10/03/2002	11:44	Wh	CW	202	1300	1250	1161124
1797931	1147168	38359	32	111-	10 NONE,	10/21/2002	08:18	Cp	CW	202	384	334	1161124
1797932	1147168	38359	32	111-	10 NONE,	10/21/2002	08:18	Cp	CW	202	405	355	1161124
1796259	1147180	34701	45	111-	10 D008,	10/21/2002	08:18	Cp	DF	30	144	130	1161124
1794537	1147250	68342	5	111-	10 NONE,	10/03/2002	11:44	Wh	DM	30	248	215	1161124
1797653	1147370	74095	1	111-	10 NONE,	10/21/2002	08:18	Cp	DF	30	202	188	1161124
1796185	1147874	70442	17	111-	10 NONE,	10/21/2002	06:41	Jr	CW	202	1770	1720	1161124
1796316	1148010	35952	49	111-	10 NONE,	10/21/2002	08:18	Cp	DF	30	171	157	1161124
1795290	1148254	388	109	111-	10 NONE,	10/19/2002	06:45	Herm	DF	5	52	49	1161124
1797046	1148579	25192	47	111-	10 NONE,	10/21/2002	08:18	Cp	DM	55	298	253	1161124
1795597	1148718	25417	4	111-	10 NONE,	10/21/2002	08:18	Cp	CW	202	503	453	1161124
1797430	1149548	15380	67	111-	10 NONE,	10/21/2002	08:18	Cp	DF	55	464	441	1161124
1797431	1149548	15380	67	111-	10 NONE,	10/21/2002	08:18	Cp	DF	55	529	506	1161124
1797432	1149548	15380	67	111-	10 NONE,	10/21/2002	08:19	Cp	DF	55	460	437	1161124
1798768	1150650	29461	66	111-	0 NONE,	10/27/2002	15:31	Mike	DF	55	148	125	1161124
1797805	1151103	10326	14	111-	10 NONE,	10/21/2002	08:18	Cp	DF	30	218	204	1161124
1798034	1151553	25417	4	111-	10 NONE,	10/21/2002	08:18	Cp	CF	202	1100	1010	1161124
1799248	1151569	10182	140	111-	10 NONE,	10/27/2002	15:31	Mike	CW	202	1154	1104	1161124
1799249	1151569	10182	140	111-	10 NONE,	10/27/2002	15:34	Mike	CW	202	1004	954	1161124
1799250	1151569	10182	140	111-	10 NONE,	10/27/2002	15:31	Mike	CW	202	966	916	1161124
1799251	1151569	10182	140	111-	10 NONE,	10/27/2002	15:34	Mike	CW	202	1124	1074	1161124
1799992	1151617	70442	17	111-	10 NONE,	10/27/2002	15:30	Mike	CW	202	1492	1442	1161124
1799993	1151617	70442	17	111-	10 NONE,	10/27/2002	15:30	Mike	CW	202	1118	1068	1161124
1799994	1151617	70442	17	111-	10 NONE,	10/27/2002	15:31	Mike	CW	202	1373	1323	1161124
1799995	1151617	70442	17	111-	10 NONE,	10/27/2002	15:31	Mike	CW	202	2028	1978	1161124
1799404	1151855	29670	110	111-	0 NONE,	10/27/2002	15:31	Mike	DF	30	216	202	1161124
1799405	1151855	29670	110	111-	0 NONE,	10/27/2002	15:31	Mike	DF	85	754	708	1161124
1798657	1151891	73249	4	111-	10 NONE,	10/27/2002	15:32	Mike	DM	30	287	254	1161124
1800326	1153840	58619	1	111-	10 NONE,	10/27/2002	15:30	Mike	DF	55	762	739	1161124
1800327	1153840	58619	1	111-	10 NONE,	10/27/2002	15:30	Mike	DF	55	653	630	1161124

Drum Process\Offsite Report

05/01/2003 10:12:42

HERITAGE ENVIRONMENTAL SERVICES
08/01/2002 to 12/31/2002
00:00 to 23:59
PROCESS: OFFSITE
ALL GROUPS
ALL LOCATIONS
DETAIL REPORT

Page: 2

Process: OFFSITE Group: R Location: QUEMETCO

DRUM	DOC. #	GENER.	WSTR.	PROD-MTRX	RCRA CODES	DATE	TIME	USER	TYPE	SIZE	GROSS	NET	MANIFEST
1800242	1154020	52188	2	111-	10 NONE,	10/27/2002	15:30	Mike	DF	55	436	413	1161124

PROCESS	GROUP	LOCATION	# of DRUMS	AMOUNT (gals)	GROSS WGT.	NET WGT.
OFFSITE	R	QUEMETCO	40	4242	26451	25040
Group R Totals ->			40	4242	26451	25040
Process OFFSITE Totals ->			40	4242	26451	25040
REPORT TOTALS ->			40	4242	26451	25040

Drum Process\Offsite Report



Johnson Controls, Inc.
Battery Group
8040 Blue Grass Drive
Florence, KY 41042
Tel. 606/371 6030

April 25, 2003



Natural Resources and Environmental Protection Cabinet
Department for Environmental Protection
Florence Regional Office
8020 Veterans Memorial Drive, Ste 110
Florence, Kentucky 41042
Attention: Ray Prater

Re: Missing signed Manifests #00051(HER-054081) and #00052(HER-054128)

Dear Mr. Prater,

I have received the letters I requested from Quemetco, Inc. and Heritage Transport, LLC. The letters are attached for your inspection. The signed manifests #00051 (HER-054081) and #0052 (HER-054128) have not been found and are considered lost. Quemetco Inc. states in their letter they have personally searched their manifest files and raw material files for the two manifests and found no evidence of the drums ever being received at their facility. Heritage Transport offers an explanation as to probable disposition of the drums and the subsequent mishandling of the associated paperwork.

Additionally, Heritage Transport has implemented a new procedure concerning the "drop & hook" policy to prevent a similar occurrence from happening again. There will be a Heritage Transport driver present to physically watch the unloading of the drums at the TSDF and the signed paperwork (manifest) will be given to the Heritage Transport, LLC driver prior to them leaving the TSDF.

As stated earlier, I have attached copies of the two letters and I am including copies of the two manifests. If there are any further requirements, please let me know. I can be reached at 1-859-372-4809.

Respectfully,

Tim Mc Carrick
Plant Engineer

Attachments: Letters from Heritage Transport, LLC and RSR Quemetco, Incorporated, copies of manifests #00051(HER-054081) and #00052(HER-054128).

cc: Frankfort Central Office
EPA Region IV, Atlanta Georgia
RSR Quemetco Inc.
Heritage Transport, LLC
Heritage Environmental Services, LLC

Quemetco Inc.
7870 West Morris St.
Indpls., In. 46231
317-247-1303-20

To: Tim McCarrick (JCI Florence-Plant Engineer)
From: Richard Rogers
Date: 3/19/2003
Subject: Hazardous Waste Manifests

This is a follow-up to your concern on missing signed copies of manifested loads that your facility shipped to us.

I personally searched our files and did not locate manifest document number 00051 dated 10/24/2002, or manifest document number 00052 dated 12/02/2003. I also searched our Raw materials system for any loads received from your facility and found none of the shipments in question.

Please check with your transporter to see what they can tell you concerning these loads.

We have no indication, or proof, that we have received the (2) manifested loads at this time.



Richard Rogers
Shipping Mgr.
Quemetco Inc.

cc: file, Compliance Dept., ISO 14000 file, QS 9000 file

HERITAGE TRANSPORT, LLC



1626 Research Way
Indianapolis, IN 46231
Phone: 317/381-6844
FAX: 317/486-2976
Internet: <http://www.heritage-enviro.com>

April 15, 2003

Mr. Timothy McCarrick
Johnson Controls
8040 Bluegrass Drive
Florence, KY 41042

Missing containers shipped to RSR- Quemetico

Dear Mr. McCarrick:

This letter provides what Heritage Transport (HT) believes to be the most probable explanation of the disposition of the containers that you shipped to RSR in Indianapolis.

As you have notified HT and RSR, Johnson Controls never received a copy of the manifest signed by RSR for the containers HT picked up from your facility in October and December. Both Johnson Controls and Heritage have questioned RSR about this, and RSR states that it does not have a record of receiving the containers. According to RSR, the containers are not on their receiving log and they do not have a copy of the container manifests.

HT's records show the drums were picked up on 10/ 24/02 and 12/ 2/02 and taken to Heritage's 10-day facility at 1626 Research way in Indianapolis. Johnson Controls and HT have copies of the manifest signed by HT at the time of the pick-up. The manifest #'s are HER 054081 and HER 054128. The drums arrived on 11/01/02 and 12/20/02 and were taken off the delivery truck and placed on a semi van that is used to shuttle containers to RSR. The RSR facility is directly across the street from Heritage's TSD at 7901 West Morris St. in Indianapolis.

Our records indicate that the drums were delivered to RSR on 11/07/02 and 12/ 25/02. These deliveries were performed on a "drop & hook basis", meaning, the driver delivered a van trailer of containers to the RSR receiving yard and picked up an empty van. Because RSR's container unloading schedule varies, Heritage and RSR had agreed that the Heritage vans would be delivered and parked. RSR would unload them later, and when Heritage had another load to deliver, it would pick up the empty van and the signed paperwork. As was the procedure then, the HT driver did not stay with the load to witness the unloading.



Recycled Paper



The paperwork for the Johnson Control containers was attached to the containers, as was the practice then. It was RSR's practice to sign the paperwork when the vans were unloaded and to leave a signed copy of the paperwork in the empty trailer. This system worked successfully in the past, but obviously broke down in your case.

Heritage has little doubt that the drums were delivered to RSR and that they were probably unloaded with the rest of the shipment. The paperwork must have been misplaced or overlooked. HT has opened every door, checked every drum, and looked under every rock in its yard for the Johnson Controls containers. They are not at our facility.

As I informed you last week, HT has changed its "drop & hook" policy. Drivers now wait until all containers are unloaded and leave with the paperwork signed by the TSD. This obviously does not help your situation. But it should prevent a recurrence of it. I apologize for the inconvenienced this has caused you. I will be glad to discuss this further with anyone you direct me to.

Yours Truly,



James Maloney



PLEASE PRINT OR TYPE

(Form designed for use on elite (12-pitch) typewriter.)

Form Approved: OMB No. 2050-0039. Expires 9-30-99

UNIFORM HAZARDOUS WASTE MANIFEST		1. Generator's U.S. EPA ID Number KY D 0 4 4 5 7 0 5 9 6	Manifest Document No. 00031	2. Page 1 of 1	Information in the shaded areas is not required by Federal Law.
3. Generator's Name and Mailing Address JOHNSON CONTROLS 8040 BLUEGRASS DRIVE, FLORENCE, KY 41042				A. State Manifest Document Number	
4. Generator's Phone (859) 371-6030				B. State Generator's ID	
5. Transporter 1 Company Name HERITAGE TRANSPORT, LLC		6. U.S. EPA ID Number IND 0 5 8 4 8 4 1 1 4		C. State Transporter's ID	
7. Transporter 2 Company Name		8. U.S. EPA ID Number		D. State Transporter's ID	
9. Designated Facility Name and Site Address RSR QUEMETCO, INCORPORATED 7870 WEST MORRIS STREET INDIANAPOLIS, IN 46231		10. U.S. EPA ID Number IND 0 0 0 1 9 9 6 5 3		E. State Facility's ID	
11. U.S. DOT Description (including Proper Shipping Name, Hazard Class, and ID Number) a. RG, HAZARDOUS WASTE, SOLID, N.O.S., 9, NA3077, PGIII, (LEAD) (D008) ERG# 171				12. Containers No.	13. Total Quantity Wt/Vol.
b.					
c.					
d.					
15. Special Handling Instructions and Additional Information 24 HOUR EMERGENCY PHONE #:513-333-6400					
16. GENERATOR'S CERTIFICATION: I hereby declare that the contents of this consignment are fully and accurately described above by proper shipping name and are classified, packed, marked and labeled, and are in all respects in proper condition for transport by highway according to applicable international and national government regulations. If I am a large quantity generator, I certify that I have a program in place to reduce the volume and toxicity of waste generated to the degree I have determined to be economically practicable and that I have selected the practicable method of treatment, storage or disposal currently available to me which minimizes the present and future threat to human health and the environment; OR, if I am a small quantity generator, I have made a good faith effort to minimize my waste generation and select the best waste management method that is available to me and that I can afford.					
17. Transporter 1 - Acknowledgement of Receipt of Materials Printed/Typed Name TIM MCCARRICK		Signature Tim M. Carrick		Date Month Day Year 10/24/02	
18. Transporter 2 - Acknowledgement of Receipt of Materials Printed/Typed Name David L. Sibert		Signature David L. Sibert		Date Month Day Year 10/24/02	
19. Discrepancy Indication Space K. HANDLING CODE FOR WASTE SHOULD BE MD13. RTM 3/11/03					
20. Facility Owner or Operator: Certification of receipt of hazardous materials covered by this manifest (except as noted in Item 19). Printed/Typed Name				Signature Date Month Day Year	

In case of a spill, call the National Response center at 800/424-8802 or 202/426-2675

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Form Approved: OMB No. 2050-0039. Expires 9-30-99

UNIFORM HAZARDOUS WASTE MANIFEST		1. Generator's U.S. EPA ID Number KY P 0 4 4 5 7 0 5 9 6	Manifest Document No. 00052	2. Page 1 of 1	Information in the shaded areas is not required by Federal Law.
3. Generator's Name and Mailing Address JOHNSON CONTROLS 8040 BLUEGRASS DRIVE, FLORENCE, KY 41042				A. State Manifest Document Number	
4. Generator's Phone (859) 371-6030					
5. Transporter 1 Company Name HERITAGE TRANSPORT, LLC				6. U.S. EPA ID Number IND 0 5 8 4 8 4 1 1 4	
7. Transporter 2 Company Name				8. U.S. EPA ID Number	
9. Designated Facility Name and Site Address RSR QUEMETCO, INCORPORATED 7870 WEST MORRIS STREET INDIANAPOLIS, IN 46231				10. U.S. EPA ID Number IND 0 0 0 1 9 9 6 5 3	
11. U.S. DOT Description (Including Proper Shipping Name, Hazard Class, and ID Number)				12. Containers No.	13. Total Quantity
a. RG HAZARDOUS WASTE SOLID, N.O.S., 9, NA3077, PGIII, (LEAD) (DO08) ERG# 171				001	0.00109 P
b.					
c.					
d.					
15. Special Handling Instructions and Additional Information 24 HOUR EMERGENCY PHONE #:513-333-6400					
16. GENERATOR'S CERTIFICATION: I hereby declare that the contents of this consignment are fully and accurately described above by proper shipping name and are classified, packed, marked and labeled, and are in all respects in proper condition for transport by highway according to applicable international and national government regulations. If I am a large quantity generator, I certify that I have a program in place to reduce the volume and toxicity of waste generated to the degree I have determined to be economically practicable and that I have selected the practicable method of treatment, storage or disposal currently available to me which minimizes the present and future threat to human health and the environment; OR, if I am a small quantity generator, I have made a good faith effort to minimize my waste generation and select the best waste management method that is available to me and that I can afford.					
Printed/Typed Name TIM MCCARRICK		Signature Tim McCarrick		Date 12/20/02	
17. Transporter 1 - Acknowledgement of Receipt of Materials Printed/Typed Name David L. Sibert		Signature David L. Sibert		Date 12/20/02	
18. Transporter 2 - Acknowledgement of Receipt of Materials Printed/Typed Name		Signature		Date	
19. Discrepancy Indication Space K. HANDLING CODE FOR WASTE SHOULD BE MD13. PTM 3/1/03					
20. Facility Owner or Operator: Certification of receipt of hazardous materials covered by this manifest (except as noted in Item 19). Printed/Typed Name					
Signature					
Date					

JOHNSON CONTROLS FAX

Date: APRIL 9, 2003Number of pages including cover sheet: 5

To:

RAY PRATER

Phone:

Fax phone: 859-~~455~~525-4157

CC:

From:

TIM MCCARRICK

Phone:

859-371-6030

Fax phone:

859-525-4099

REMARKS:

☐ Urgent☒ For your review☐ Reply ASAP☐ Please comment

Ray,
Per your request, here are the manifests
you requested.

Thanks
Tim
McCarrick

UNIFORM HAZARDOUS WASTE MANIFEST		1. Generator's US EPA ID No. K.P.O. 04.4.5.7.00.76000.44	Manifest Document No.	2. Page 1 of 1	Information in the shaded areas is not required by Federal law.
3. Generator's Name and Mailing Address John Seagraves 7616030 3046 Bu+grass Dr. Florence, Ky. 41042		6. US EPA ID Number		A. State Manifest Document Number	
4. Generator's Phone ()		8. US EPA ID Number		B. State Generator's ID	
5. Transporter 1 Company Name		10. US EPA ID Number		C. State Transporter's ID	
7. Transporter 2 Company Name				D. Transporter's Phone 813 333 6400	
9. Designated Facility Name and Site Address R&R Quemet 20 inc 7870 West Main St. Indianapolis IND. 46231				E. State Transporter's ID	
				F. Transporter's Phone	
				G. State Facility's ID	
				H. Facility's Phone	
11. US DOT Description (Including Proper Shipping Name, Hazard Class and ID Number)		12. Containers No.	13. Total Quantity	14. Unit Wt/Vol	Waste No.
a. RM	RA, Hazardous waste, solid, AOS, 9, NA 3077, PG III, (load) (0008) EP6 # 171	00.10m	1.17		(0005)
b.					
c.					
d.					
J. Additional Descriptions for Materials Listed Above		K. Handling Codes for Wastes Listed Above S01 M012			
15. Special Handling Instructions and Additional Information Em 19 1 513 333 6400					
16. GENERATOR'S CERTIFICATION: I hereby declare that the contents of this consignment are fully and accurately described above by proper shipping name and are classified, packed, marked, and labeled, and are in all respects in proper condition for transport by highway according to applicable international and national government regulations. If I am a large quantity generator, I certify that I have a program in place to reduce the volume and toxicity of waste generated to the degree I have determined to be economically practicable and that I have selected the practicable method of treatment, storage, or disposal currently available to me which minimizes the present and future threat to human health and the environment; OR, if I am a small quantity generator, I have made a good faith effort to minimize my waste generation and select the best waste management method that is available to me and that I can afford.					
Printed/Typed Name Tim McCarrick		Signature Tim McCarrick		Month Day Year 05/06/02	
17. Transporter 1 Acknowledgement of Receipt of Materials		Signature		Month Day Year	
Printed/Typed Name Mike McCarry		Signature Mike McCarry		Month Day Year 05/13/02	
18. Transporter 2 Acknowledgement of Receipt of Materials		Signature		Month Day Year	
Printed/Typed Name Mike McCarry		Signature Mike McCarry		Month Day Year 05/13/02	
19. Discrepancy Indication Space LINE 18. SIGNATURE IN THIS LINE IS IN WRONG LINE. IT SHOULD BE ON LINE 20, CONTACTED TSD TO TELL THEM OF ERROR TO PREVENT IT FROM HAPPENING AGAIN. Tim McCarrick 3/5/03					
20. Facility Owner or Operator: Certification of receipt of hazardous materials covered by this manifest except as noted in Item 19.		Signature		Month Day Year	
Printed/Typed Name					

Stylle CF 17 LABELMASTER, AN AMERICAN LABELMARK CO., CHICAGO, IL 60646 (800)621-5808

EPA Form 8700-22 (Rev. 9-88) Previous editions are obsolete.



USE AS MAIL TO Generator
copy

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Form Approved: OMB No. 2050-0039. Expires 9-30-99

UNIFORM HAZARDOUS WASTE MANIFEST		1. Generator's U.S. EPA ID Number 100044570596	Manifest Document No. 00048	2. Page 1 of 1	Information in the shaded areas is not required by Federal Law.
3. Generator's Name and Mailing Address JOHNSON CONTROLS 8040 BLUEGRASS DRIVE, FLORENCE, KY 41042		6. U.S. EPA ID Number ND056484114		A. State Manifest Document Number	
4. Generator's Phone (859) 371-8030		8. U.S. EPA ID Number			
5. Transporter 1 Company Name HERITAGE TRANSPORT, LLC		10. U.S. EPA ID Number ND0000199453			
7. Transporter 2 Company Name					
9. Designated Facility Name and Site Address RSR QUEMETCO, INCORPORATED 7870 WEST MORRIS STREET INDIANAPOLIS, IN 46231					
11. U.S. DOT Description (Including Proper Shipping Name, Hazard Class, and ID Number)		12. Containers No.	Type	13. Total Quantity	14. Unit Wt/Vol.
a. RG HAZARDOUS WASTE, SOLID, N.O.S., 9, NA3077, PG11, (LEAD) (D008) ERG# 171		001	DM	000.98	P.
b.					
c.					
d.					
15. Special Handling Instructions and Additional Information 24 HOUR EMERGENCY PHONE #:513-333-6400					
16. GENERATOR'S CERTIFICATION: I hereby declare that the contents of this consignment are fully and accurately described above by proper shipping name and are classified, packed, marked and labeled, and are in all respects in proper condition for transport by highway according to applicable International and national government regulations. If I am a large quantity generator, I certify that I have a program in place to reduce the volume and toxicity of waste generated to the degree I have determined to be economically practicable and that I have selected the practicable method of treatment, storage or disposal currently available to me which minimizes the present and future threat to human health and the environment; OR, if I am a small quantity generator, I have made a good faith effort to minimize my waste generation and select the best waste management method that is available to me and that I can afford.					
Printed/Typed Name TIM MCCARRICK		Signature Tim McCarrick		Month Day Year 08/06/03	
17. Transporter 1 - Acknowledgement of Receipt of Materials Printed/Typed Name David L. Sibant		Signature David L. Sibant		Month Day Year 08/06/03	
18. Transporter 2 - Acknowledgement of Receipt of Materials Printed/Typed Name Wayne Sandhofer		Signature Wayne Sandhofer		Month Day Year 08/06/03	
19. Discrepancy Indication Space K. Ha. HANDLING CODE FOR WASTE SHOULD BE MD13. ERM 3/11/03					
20. Facility Owner or Operator: Certification of receipt of hazardous materials covered by this manifest (except as noted in item 19). Printed/Typed Name DAVID GILLISON					
Signature David Gillison				Month Day Year .	

In case of a spill, call the National Response center at 800 / 424-8802 or 202 / 426-2675

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(Form designed for use on elite (12-pitch) typewriter.)

Form Approved: OMB No. 2050-0039. Expires 9-30-99

UNIFORM HAZARDOUS WASTE MANIFEST		1. Generator's U.S. EPA ID Number 110044570596	Manifest Document No. 00049	2. Page 1 of 1	Information in the shaded areas is not required by Federal Law.
3. Generator's Name and Mailing Address JOHNSON CONTROLS 8040 BLUEGRASS DRIVE, FLORENCE, KY 41042		6. U.S. EPA ID Number ND058484114		A. State Manifest Document Number	
4. Generator's Phone (859) 371-6030		8. U.S. EPA ID Number			
5. Transporter 1 Company Name HERITAGE TRANSPORT, LLC		10. U.S. EPA ID Number ND000199653			
7. Transporter 2 Company Name					
9. Designated Facility Name and Site Address RSR QUEMETCO, INCORPORATED 7370 WEST MORRIS STREET INDIANAPOLIS, IN 46231					
11. U.S. DOT Description (Including Proper Shipping Name, Hazard Class, and ID Number)		12. Containers No.	Type	13. Total Quantity	14. Unit Unit Wt/Vol.
a. RC HAZARDOUS WASTE SOLID, NOS 9, NA3077, PG III, (LEAD) (DIB) E667171		620	SM	00098	P
b. RC Hazardous Waste Solid, NOS 9, NA3077, PG III, (Lead) (DIB) E667171		008	CF	0.512	P
c.					
d.					
15. Special Handling Instructions and Additional Information 24 HOUR EMERGENCY PHONE #:513-333-6400					
16. GENERATOR'S CERTIFICATION: I hereby declare that the contents of this consignment are fully and accurately described above by proper shipping name and are classified, packed, marked and labeled, and are in all respects in proper condition for transport by highway according to applicable international and national government regulations. If I am a large quantity generator, I certify that I have a program in place to reduce the volume and toxicity of waste generated to the degree I have determined to be economically practicable and that I have selected the practicable method of treatment, storage or disposal currently available to me which minimizes the present and future threat to human health and the environment; OR, if I am a small quantity generator, I have made a good faith effort to minimize my waste generation and select the best waste management method that is available to me and that I can afford.					
Printed/Typed Name TIM MCCARRICK		Signature Tim McCarrick		Date Month 09 Day 11 Year 02	
17. Transporter 1 - Acknowledgement of Receipt of Materials Printed/Typed Name Chris Seidlin		Signature Chris Seidlin		Date Month 09 Day 11 Year 02	
18. Transporter 2 - Acknowledgement of Receipt of Materials Printed/Typed Name		Signature		Date Month . Day . Year .	
19. Discrepancy Indication Space K. 11b. HANDLING CODE FOR WASTE SHOULD BE MD13. RIM 3/11/03					
20. Facility Owner or Operator: Certification of receipt of hazardous materials covered by this manifest (except as noted in Item 19). Printed/Typed Name DAVID MILLISON					
Signature David Millison				Date Month 09 Day 05 Year 03	

In case of a spill, call the National Response center at 800 / 424-8802 or 202 / 426-2675

PLEASE PRINT OR TYPE

(Form designed for use on elite (12-pitch) typewriter.)

Form Approved: OMB No. 2050-0039. Expires 9-30-99

UNIFORM HAZARDOUS WASTE MANIFEST

1. Generator's U.S. EPA ID Number

Manifest Document No. 00054

2. Page 1 of 1

Information in the shaded areas is not required by Federal Law.

3. Generator's Name and Mailing Address

JOHNSON CONTROLS
8040 BLUEGRASS DRIVE, FLORENCE, KY 41042

A. State Manifest Document Number

4. Generator's Phone (859) 371-6030

5. Transporter 1 Company Name

HERITAGE TRANSPORT, LLC

6. U.S. EPA ID Number

IND058484114

7. Transporter 2 Company Name

8. U.S. EPA ID Number

.....

9. Designated Facility Name and Site Address

RSR QUOMETCO, INCORPORATED
7870 WEST MORRIS STREET
INDIANAPOLIS, IN 46231

10. U.S. EPA ID Number

IND000199653

11. U.S. DOT Description (Including Proper Shipping Name, Hazard Class, and ID Number)

a. RG HAZARDOUS WASTE, SOLID, N.D.S., 9, NA3077,
PG111, (LEAD) (D008) ERG# 17112. Containers
No. Type

90.1 D.M.G. 1.00 P

13. Total
Quantity14. Unit
Wt/Vol.

b.

c.

d.

15. Special Handling Instructions and Additional Information

24 HOUR EMERGENCY PHONE #: 513-333-6400

16. GENERATOR'S CERTIFICATION: I hereby declare that the contents of this consignment are fully and accurately described above by proper shipping name and are classified, packed, marked and labeled, and are in all respects in proper condition for transport by highway according to applicable international and national government regulations.

If I am a large quantity generator, I certify that I have a program in place to reduce the volume and toxicity of waste generated to the degree I have determined to be economically practicable and that I have selected the practicable method of treatment, storage or disposal currently available to me which minimizes the present and future threat to human health and the environment; OR, if I am a small quantity generator, I have made a good faith effort to minimize my waste generation and select the best waste management method that is available to me and that I can afford.

Printed/Typed Name

TIM MCCARRICK

Signature

Tim McCarrick

Date
Month Day Year
0.1 0.5 0.3

17. Transporter 1 - Acknowledgement of Receipt of Materials

Printed/Typed Name

David L. Sibert

Signature

David L. Sibert

Date
Month Day Year
0.2 0.2 0.3

18. Transporter 2 - Acknowledgement of Receipt of Materials

Printed/Typed Name

Signature

Date
Month Day Year
.

19. Discrepancy Indication Space

20. Facility Owner or Operator: Certification of receipt of hazardous materials covered by this manifest (except as noted in Item 19).

Printed/Typed Name

DAVID GILLISON

Signature

David Gillison

Date
Month Day Year
0.3 0.3 0.3

GENERATOR

TRANSPORTER

FACILITY

JOHNSON
CONTROLS



March 14, 2003

Natural Resources and Environmental Protection Cabinet
Department for Environmental Protection
Florence Regional Office
8020 Veterans Memorial Drive, Ste 110
Florence, Kentucky 41042
Attention: Ray Prater

Dear Mr. Prater,

Per your request, from the NOV letter issued by your department, dated March 11, 2003 and in compliance with 40 CFR 262.42(b), I am sending you this Exception Report letter for missing manifests from the receiving facility. The manifests in question are #00051(HER-054081), dated 10/24/02, and #00052(HER-054128), dated 12/02/02, to the receiving facility RSR Quemetco, Incorporated, 7870 W. Morris Street, Indianapolis, IN. Heritage Transport, LLC picked up the materials and was supposed to deliver to RSR Quemetco, Inc. for disposal. RSR Quemetco, Inc.'s preliminary investigation revealed there was no record of the material identified on the two manifests as ever being delivered. I have sent letters to Heritage Environmental Services, LLC, Heritage Transport, LLC and RSR Quemetco, Inc. asking them to investigate the missing material and the manifests. I have enclosed copies of these letters for your review.

If there are any further requirements, please let me know. I can be reached at 1-859-372-4809.

Respectfully,

A handwritten signature in cursive script that reads "Tim Mc Carrick".

Tim Mc Carrick
Plant Engineer

Attachments: Letters to Heritage Transport, LLC, Heritage Environmental Services, LLC and RSR Quemetco, Incorporated, copies of manifests #00051(HER-054081) and #00052(HER-054128).

cc: Frankfort Central Office
EPA Region IV, Atlanta Georgia



March 13, 2003

Heritage Transport, LLC
7901 W. Morris Street
Indianapolis, IN 46231

Re: Exception Report on Missing Manifests Signed by Receiving Facility

To whom it may concern

Per 40 CFR 262.42(b) I am writing this exception report. I have not receive a signed copy of manifests from the receiving facility for manifests #00052 (HER-054128) and #00051 (HER-054081), dated 12/02/02 and 10/24/01 respectively. I have contacted RSR Quemetco, Incorporated; Indianapolis, IN looking for the above manifests. They have no record of the material ever being delivered. Considering Heritage Transport, LLC was the transporter who picked up this material from our facility and was to transport it to RSR Quemetco, Inc. for disposal, I was wondering what happened to the material? Why was the material not delivered? I have attached a copy of the manifests for your inspection and investigation. I am also sending a copy of this letter to the Kentucky Environmental Protection Agency and the Federal EPA Regional Administrator.

Please reply in writing as to why the material was not delivered to RSR Quemetco, Incorporated or supply "proof of delivery" to the facility. Please send you reply to:

Tim Mc Carrick
Johnson Controls Battery Group Inc.
8040 Blue Grass Drive
Florence, Kentucky 41042

I need your reply by April 4th, 2003. Thank you for your cooperation.

Respectfully,

A handwritten signature in cursive script that reads "Tim Mc Carrick". The signature is written in dark ink and is positioned above the printed name and title.

Tim Mc Carrick
Plant Engineer

Attachment: Manifests #00051(HER-54081) and #00052(HER-54128)



March 13, 2003

Heritage Environmental Services, LLC
Records Retention Group
Treatment/Disposal Services Group
7901 W. Morris Street
Indianapolis, IN 46231

Re: Exception Report on Missing Manifests Signed by Receiving Facility

To whom it may concern

Per 40 CFR 262.42(b) I am writing this exception report. I have not receive a signed copy of manifests from the receiving facility for manifests #00052 (HER-054128) and #00051(HER-054081), dated 12/02/02 and 10/24/01 respectively. I have contacted RSR Quemetco, Incorporated; Indianapolis, IN looking for the above manifests. They have no record of the material ever being delivered. Considering Heritage Transport, LLC was the transporter who picked up this material from our facility and was to transport it to RSR Quemetco, Inc. for disposal, I was wondering what happened to the material? Why was the material not delivered? I have attached a copy of the manifests for your inspection and investigation. I am also sending a copy of this letter to the Kentucky Environmental Protection Agency and the Federal EPA Regional Administrator.

Please reply in writing as to why the material was not delivered to RSR Quemetco, Incorporated or supply "proof of delivery" to the facility. Please send you reply to:

Tim Mc Carrick
Johnson Controls Battery Group Inc.
8040 Blue Grass Drive
Florence, Kentucky 41042

I need your reply by April 4th, 2003. Thank you for your cooperation.

Respectfully,

A handwritten signature in cursive script that reads "Tim Mc Carrick". The signature is written in dark ink and is positioned above the printed name and title.

Tim Mc Carrick
Plant Engineer

Attachment: Manifest #00051(HER-054081) and #00052(HER-54128)



March 13, 2003

RSR Quemetco Incorporated
7870 W. Morris Street
Indianapolis, IN 46231

Re: Exception Report on Missing Manifests Signed by Receiving Facility

To whom it may concern

Per 40 CFR 262.42(b) I am writing this exception report. I have not receive a signed copy of manifests from the receiving facility for manifests #00052 (HER-054128) and #00051(HER-054081), dated 12/02/02 and 10/24/01 respectively I have attached a copy of the manifests for your inspection and investigation. I am also sending a copy of this letter to the Kentucky Environmental Protection Agency and the Federal EPA Regional Administrator.

Please reply in writing what your investigation reveals. Additionally, if you find the signed copies of the above mentioned manifests, please send them to:

Tim Mc Carrick
Johnson Controls Battery Group Inc.
8040 Blue Grass Drive
Florence, Kentucky 41042

I need your reply by April 4th, 2003. Thank you for your cooperation.

Respectfully,

A handwritten signature in cursive script that reads "Tim Mc Carrick".

Tim Mc Carrick
Plant Engineer

Attachment: Manifests #00051(HER-054081) and #00052(HER-054128)

HER-

CS4081

PLEASE PRINT OR TYPE

(Form designed for use on elite (12-pitch) typewriter.)

Form Approved: OMB No. 2050-0039 Expires 9-30-99

UNIFORM HAZARDOUS WASTE MANIFEST		1. Generator's U.S. EPA ID Number 10014370590	Manifest Document No. 60031	2. Page 1 of 1	Information in the shaded areas is not required by Federal Law.	
3. Generator's Name and Mailing Address JOHNSON CONTROLS 8040 BLUEGRASS DRIVE, FLORENCE, KY 41042				A. State Manifest Document Number		
4. Generator's Phone (859) 371-6000				B. State Generator's ID		
5. Transporter 1 Company Name HERITAGE TRANSPORT, LLC		6. U.S. EPA ID Number ND058484114		C. State Transporter's ID (613) 333-6400		
7. Transporter 2 Company Name		8. U.S. EPA ID Number		D. Transporter's Phone		
9. Designated Facility Name and Site Address RSR QUEMETCO, INCORPORATED 7870 WEST MORRIS STREET INDIANAPOLIS, IN 46231		10. U.S. EPA ID Number ND000199653		E. State Transporter's ID		
				F. Transporter's Phone		
				G. State Facility's ID		
				H. Facility's Phone (317) 447-1393		
11. U.S. DOT Description (Including Proper Shipping Name, Hazard Class, and ID Number)		12. Containers No. Type		13. Total Quantity		14. Unit Wt/Vol. Waste No.
a. RC, HAZARDOUS WASTE, SOLID, N.O.S., 9, NA3077, PGIII, (LEAD) (D008) ERG# 171		001 0-m 0-0-1-0-7		P		B-0-0-2
b.			
c.			
d.			
Additional Descriptions for Materials Listed Above		K. Handling Codes for Wastes Listed Above 501 MO13				
15. Special Handling Instructions and Additional Information 24 HOUR EMERGENCY PHONE #: 513-333-6400						
16. GENERATOR'S CERTIFICATION: I hereby declare that the contents of this consignment are fully and accurately described above by proper shipping name and are classified, packed, marked and labeled, and are in all respects in proper condition for transport by highway according to applicable International and national government regulations. If I am a large quantity generator, I certify that I have a program in place to reduce the volume and toxicity of waste generated to the degree I have determined to be economically practicable and that I have selected the practicable method of treatment, storage or disposal currently available to me which minimizes the present and future threat to human health and the environment; OR, if I am a small quantity generator, I have made a good faith effort to minimize my waste generation and select the best waste management method that is available to me and that I can afford.						
Printed/Typed Name TIM MCCARRICK		Signature Tim McCarrick		Date Month Day Year 10 11 02		
17. Transporter 1 - Acknowledgement of Receipt of Materials Printed/Typed Name David L. Sibert		Signature David L. Sibert		Date Month Day Year 10 11 02		
18. Transporter 2 - Acknowledgement of Receipt of Materials Printed/Typed Name		Signature		Date Month Day Year . . .		
19. Discrepancy Indication Space K. HANDLING CODE FOR WASTE SHOULD BE MO13. RTM 3/11/03						
20. Facility Owner or Operator: Certification of receipt of hazardous materials covered by this manifest (except as noted in Item 19). Printed/Typed Name						
		Signature		Date Month Day Year . . .		

PLEASE PRINT OR TYPE

(Form designed for use on elite (12-pitch) typewriter.)

Form Approved: OMB No. 2050-0039. Expires 9-30-99

UNIFORM HAZARDOUS WASTE MANIFEST		1. Generator's U.S. EPA ID Number Y P 0 4 1 5 7 0 5 9 A	Manifest Document No. 0-0052	2. Page 1 of 1	Information in the shaded areas is not required by Federal Law.
3. Generator's Name and Mailing Address JOHNSON CONTROLS 8040 BLUEGRASS DRIVE, FLORENCE, KY 41042				A. State Manifest Document Number	
4. Generator's Phone (859) 371-8030				B. State Generator's ID	
5. Transporter 1 Company Name HERITAGE TRANSPORT, LLC		6. U.S. EPA ID Number N D 0 5 8 4 8 4 1 1 4		C. State Transporter's ID (513) 333-6400	
7. Transporter 2 Company Name		8. U.S. EPA ID Number		D. Transporter's Phone	
9. Designated Facility Name and Site Address RSR QUEMETCO, INCORPORATED 7870 WEST MORRIS STREET INDIANAPOLIS, IN 46231		10. U.S. EPA ID Number N D 0 0 0 1 9 9 6 5 3		E. State Transporter's ID	
				F. Transporter's Phone	
				G. State Facility's ID	
				H. Facility's Phone	
11. U.S. DOT Description (Including Proper Shipping Name, Hazard Class, and ID Number)		12. Containers No. Type		13. Total Quantity	14. Unit Wt/Vol.
a. RD, HAZARDOUS WASTE, SOLID, N.O.S., 9, NA3077, PGIII, (LEAD, (DOOS) ERG# 171		001 0-MOD-10-9		P	Waste No. 0008
b.		
c.		
d.		
J. Additional Descriptions for Materials Listed Above		K. Handling Codes for Wastes Listed Above MOB3 MOB3			
15. Special Handling Instructions and Additional Information 24 HOUR EMERGENCY PHONE #: 513-333-6400					
16. GENERATOR'S CERTIFICATION: I hereby declare that the contents of this consignment are fully and accurately described above by proper shipping name and are classified, packed, marked and labeled, and are in all respects in proper condition for transport by highway according to applicable international and national government regulations. If I am a large quantity generator, I certify that I have a program in place to reduce the volume and toxicity of waste generated to the degree I have determined to be economically practicable and that I have selected the practicable method of treatment, storage or disposal currently available to me which minimizes the present and future threat to human health and the environment; OR, if I am a small quantity generator, I have made a good faith effort to minimize my waste generation and select the best waste management method that is available to me and that I can afford.					
Printed/Typed Name TIM MCCARRICK		Signature Tim McCarrick		Date Month Day Year 1 2 2002	
17. Transporter 1 - Acknowledgement of Receipt of Materials Printed/Typed Name David L Sibert		Signature David L Sibert		Date Month Day Year	
18. Transporter 2 - Acknowledgement of Receipt of Materials Printed/Typed Name		Signature		Date Month Day Year	
19. Discrepancy Indication Space K. HANDLING CODE FOR WASTE SHOULD BE MOB3. 3/1/03					
20. Facility Owner or Operator: Certification of receipt of hazardous materials covered by this manifest (except as noted in Item 19). Printed/Typed Name					
Signature		Date Month Day Year			